

FORM PTO-1449

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(use as many sheets as necessary)

Attorney Docket Number

39477/RRT/S850

Application Number

09/690,796

Filing Date

October 17, 2000

Applicant(s)

Craig L. Ogg

Group Art Unit

~~2161~~ 3621

Examiner Name

~~To be assigned~~ S. Congiolo

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U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
MC	4,725,718	02/1988	Sansone et al.	235	495	
MC	4,743,747	05/1988	Fougere et al.	235	494	
MC	4,812,994	03/1989	Taylor et al.	364	464.02	
MC	4,853,865	08/1989	Sansone et al.	364	464.02	
MC	4,933,849	06/1990	Connell et al.	364	400	
MC	5,142,577	08/1992	Pastor	380	21	
MC	5,341,505	08/1994	Whitehouse	395	800	
MC	5,666,421	09/1997	Pastor et al.	380	51	
MC	5,793,867	08/1998	Cordery et al.	380	4	
MC	5,801,944	09/1998	Kara	364	464.2	
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MC	5,987,441	11/1999	Lee et al.	705	401	
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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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EXAMINER INITIALS	DOCUMENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
gc	4,802,218	01/1989	Wright et al.	380	23	
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EXAMINER INITIALS	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
gc	0 840 258	05/1998	European Patent Office	/	/	✓	
gc	0 927 958	07/1999	European Patent Office	/	/	✓	
gc	0 948 158	10/1999	European Patent Office	/	/		X
gc	0 927 963	07/1999	European Patent Office	/	/	✓	
gc	WO99/18514	04/1999	WIPO	/	/	✓	
gc	WO98/57302	12/1998	WIPO	/	/	✓	
gc	WO94/27258	11/1994	WIPO	/	/		

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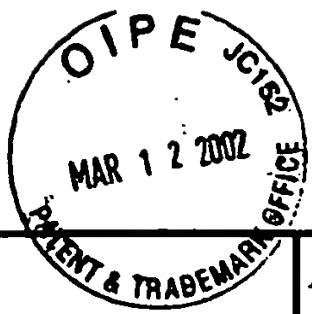
EXAMINER INITIALS	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
gc	United States Postal Service, "Information Based Indicia Program Postal Security Device Specification," XP-002137734, Entire Document (21 Sheets), 06/1996
gc	International Search Report, International Application No. PCT/US00/41203, 02/2001

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U.S. PATENT DOCUMENTS

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SR		US 4,831,555 A	05-16-1989	Sansone et al.
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SR		US 4,900,904 A	02-13-1990	Wright et al.
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SR		US 5,111,030 A	05-05-1992	Brasington et al.
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EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ . Kind Code ⁵ (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (✓)

OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

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EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - kind code ² . (If known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
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gm		5,768,132	06-16-1998	Cordery et al.

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EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (✓)

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OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

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SR		6,233,565 B1	05-15-2001	LEWIS, et al.
SR		6,341,274 B1	01-22-2002	LEON
SR		6,381,589 B1	04-30-2002	LEON
SR		6,424,954 B1	07-23-2002	LEON
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OTHER DOCUMENTS

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SR		PASTOR, Jose; CRYPTOPOST™ - A Cryptographic Application to Mail Processing; Journal of Cryptology; 1991; 137-146pp.; Vol. 3; Number 2; International Association for Cryptologic Research
SR		The United States Postal Service (USPS) Engineering Center; INFORMATION BASED INDICIA PROGRAM (IBIP) INDICIUM SPECIFICATION; June 13, 1996; 22pp.
SR		The United States Postal Service (USPS); INFORMATION-BASED INDICIA PROGRAM (IBIP): PERFORMANCE CRITERIA FOR INFORMATION-BASED INDICIA AND SECURITY ARCHITECTURE FOR CLOSED IBI POSTAGE METERING SYSTEMS (PCIBI-C); January 12, 1999; 49pp.
SR		The United States Postal Service (USPS); INFORMATION-BASED INDICIA PROGRAM (IBIP): PERFORMANCE CRITERIA FOR INFORMATION-BASED INDICIA AND SECURITY ARCHITECTURE FOR OPEN IBI POSTAGE EVIDENCING SYSTEMS (PCIBI-O); June 25, 1999; 76pp.

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Sheet 1 of 2

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JMC		✓ TYGAR, J.D. and YEE, Bennet; Cryptography: It's Not Just For <i>Electronic</i> Mail Anymore; School of Computer Science; March 1, 1993; 1-21pp.; Carnegie Mellon University, Pittsburg, PA, USA
JMC		✓ TYGAR, J.D. and YEE, Bennet; Dyad: A System for Using Physically Secure Coprocessors; School of Computer Science; May 4, 1991; 1-36pp.; Carnegie Mellon University, Pittsburg, PA, USA
JMC		✓ U.S. Application Serial No. 09/585,025 filed June 1, 2000, "Online Value Bearing Item Printing", 125 pp.
JMC		✓ U.S. Application Serial No. 09/688,451 filed October 16, 2000, "Auditing Method and System for an On-Line Value-Bearing Item Printing System, 105pp.
JMC		✓ U.S. Application Serial No. 09/688,452 filed October 16, 2000, "Role Assignments in a Cryptographic Module for Secure Processing of Value-Bearing Items", 105pp.
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JMC		✓ U.S. Application Serial No. 09/692,746 filed October 18, 2000, "Method and Apparatus for Digitally Signing an Advertisement Area Next to a Value-Bearing Item", 61pp.
JMC		✓ U.S. Application Serial No. 09/692,829 filed October 18, 2000, "Postal System Intranet and Commerce Processing for On-Line Value-Bearing System", 179pp.
JMC		✓ U.S. Application Serial No. 09/788,069 filed February 16, 2001, "On-Line Value-Bearing Indiciu Printing Using DSA", 43pp.
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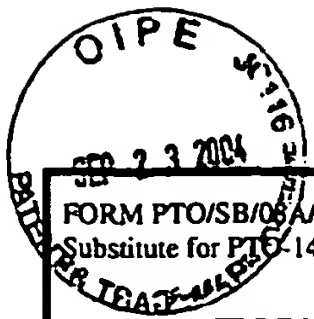
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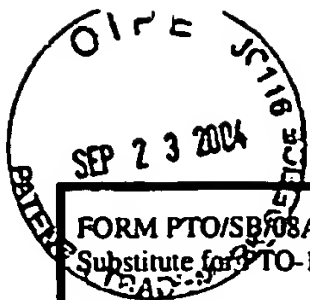
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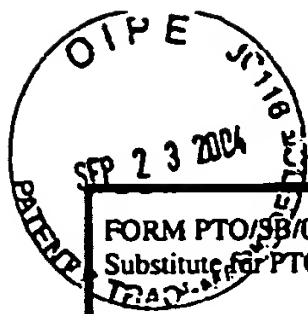
EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
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INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

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Attorney Docket Number

39477/SAH/S850

Application Number

09/690,796

Filing Date

October 16, 2000

Applicant(s)

Craig L. Ogg

Group Art Unit

3621

Examiner Name

Salvatore A. Cangialosi

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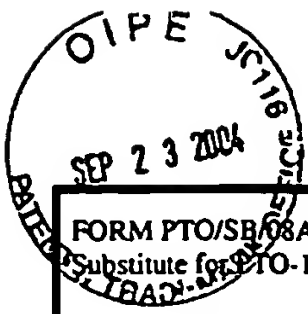
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